

## 6.300: Signal Processing

---

### Short-Time Fourier Transform (STFT)

**Windows:** Consider the main lobe and sidelobes.

#### Time Resolution vs. Frequency Resolution

- time resolution: short  $w[n]$   $\iff$  wide  $W(\Omega)$
- frequency resolution: long  $w[n]$   $\iff$  narrow  $W(\Omega)$

**Analysis:** 
$$X[k, m] = \frac{1}{N} \sum_{n=0}^{N-1} x[n - ms] w[n] e^{-jk \frac{2\pi}{N} n}$$

**Spectrogram:**  $|X[k, m]|^2$  (magnitude<sup>2</sup> of STFT)

# Agenda for Recitation

---

- Windows: Rectangular, triangular, and Hann
- Short-time Fourier transforms and spectrograms
- Examples of spectrograms (as time allows)

What questions do you have from lecture?

# Windows

---

Multiplying  $x[n]$  by the window function  $w[n]$  corresponds to convolving the DTFT of  $x[n]$  with the DTFT of  $w[n]$ .

## Windowing

$$x_w[n] = x[n]w[n] \iff X_w(\Omega) = \frac{1}{2\pi}(X * W)(\Omega)$$

- time resolution: short  $w[n]$   $\iff$  wide  $W(\Omega)$
- frequency resolution: long  $w[n]$   $\iff$  narrow  $W(\Omega)$

There is a **trade-off** between time and frequency resolution. This is Heisenberg's uncertainty principle!

# Windows

---

There are many window functions. SciPy has 25 of them!

**scipy.signal.windows:** Bartlett, Bartlett-Hann, Blackman, Blackman-Harris, Bohman, boxcar, cosine, discrete prolate spheroidal sequences, Dolph-Chebyshev, exponential, flat-top, Gaussian, generalized cosine, generalized Gaussian, generalized Hamming, Hamming, Hann, Kaiser, Kaiser-Bessel, Lanczos, Nutall, Parzen, Taylor, triangular, Tukey

Let's examine the DTFT of a few windows.

- **rectangular:** narrow mainlobe, high sidelobes
- **triangular:** wider mainlobe, shorter sidelobes
- **Hann:** even wider mainlobe, even shorter sidelobes

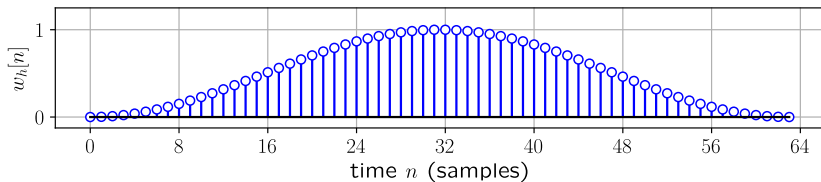
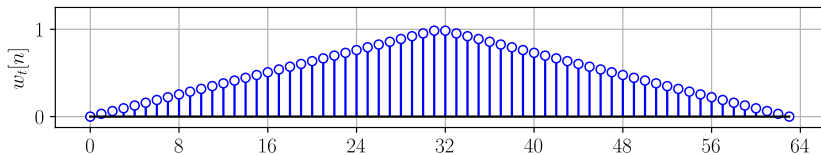
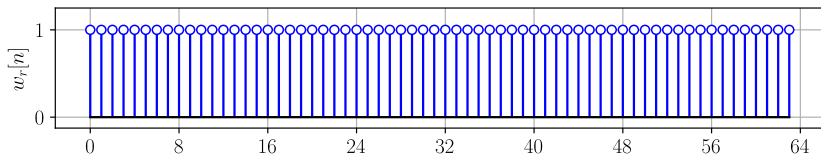
Good frequency resolution means “narrow mainlobe.”

Problem: Sidelobes may obscure low-power signals.

# Windows

---

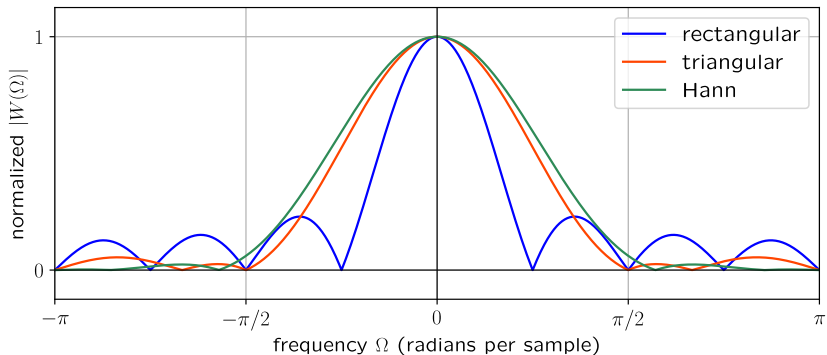
$N = 64$  samples



# Windows

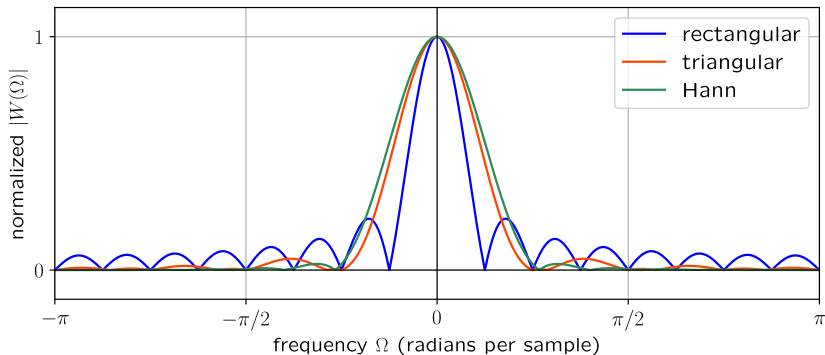
---

window comparison ( $N = 8$  samples)



# Windows

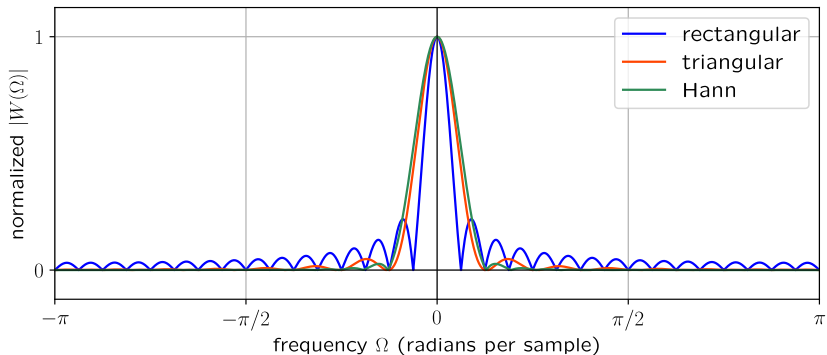
window comparison ( $N = 16$  samples)



# Windows

---

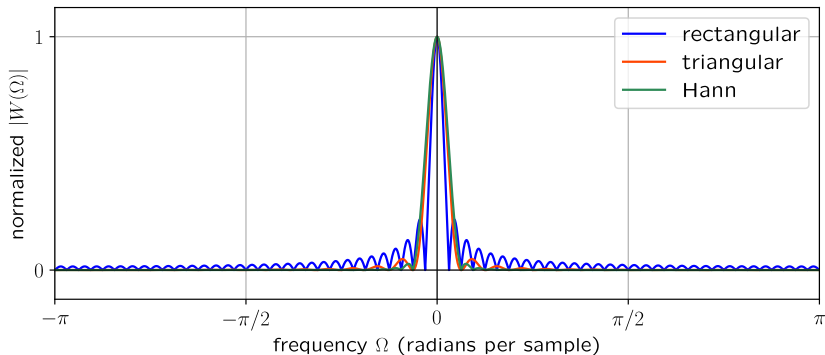
window comparison ( $N = 32$  samples)



# Windows

---

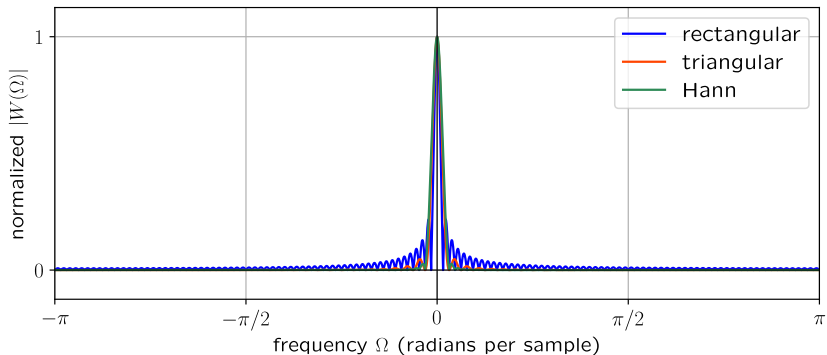
window comparison ( $N = 64$  samples)



# Windows

---

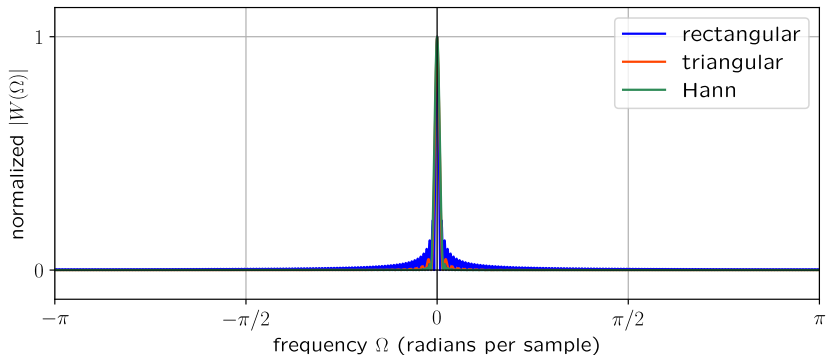
window comparison ( $N = 128$  samples)



# Windows

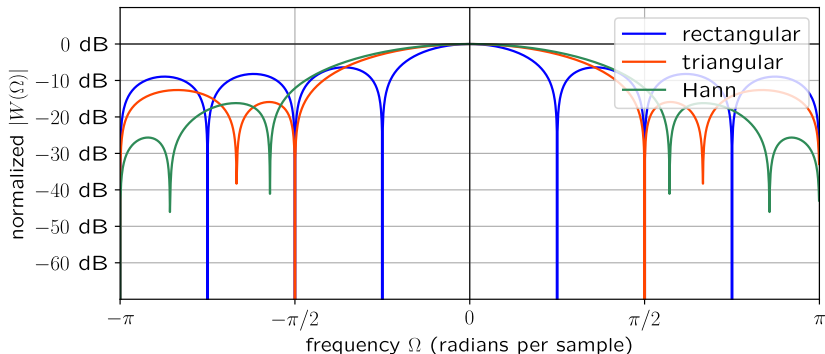
---

window comparison ( $N = 256$  samples)



# Windows

window comparison ( $N = 8$  samples)

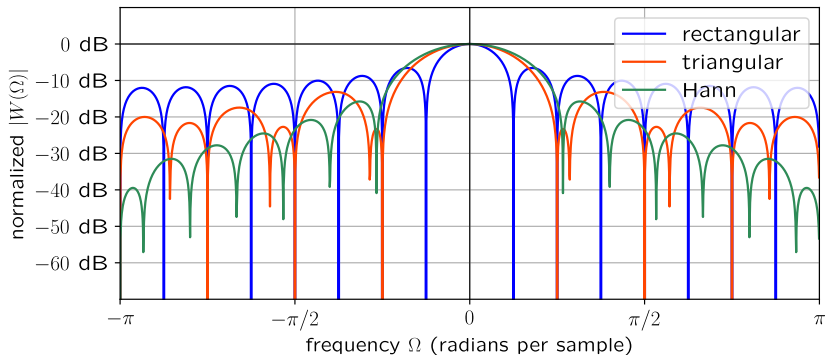


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Windows

window comparison ( $N = 16$  samples)

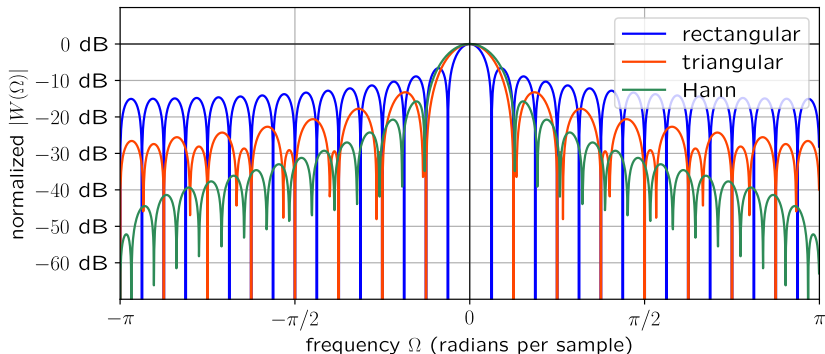


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Windows

window comparison ( $N = 32$  samples)

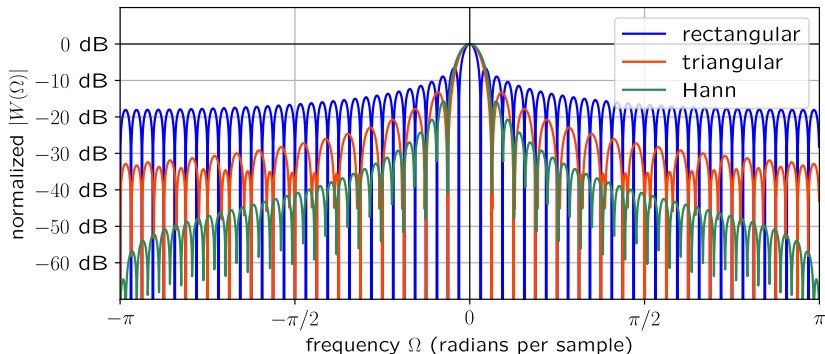


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Windows

window comparison ( $N = 64$  samples)

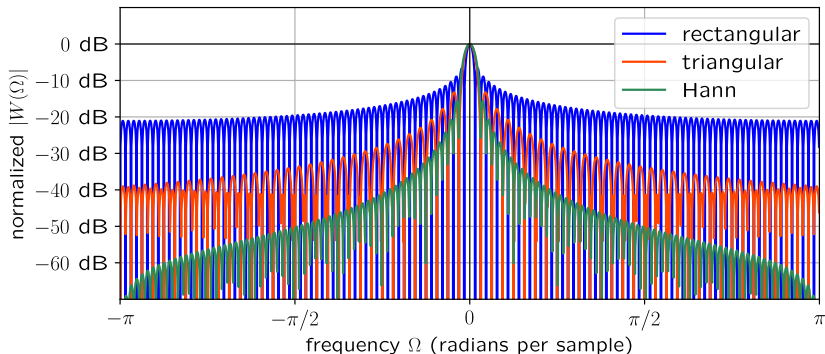


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Windows

window comparison ( $N = 128$  samples)

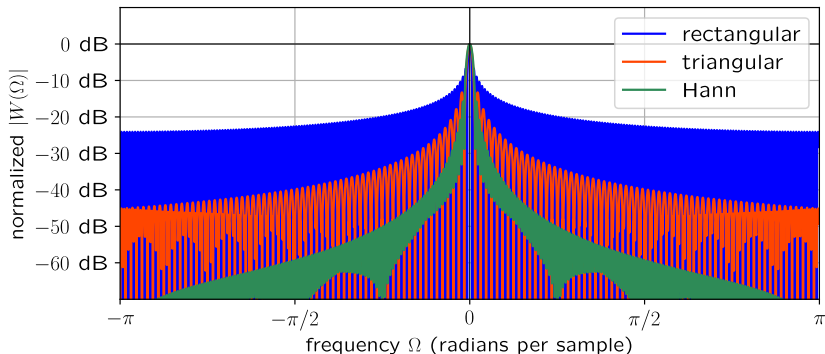


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Windows

window comparison ( $N = 256$  samples)

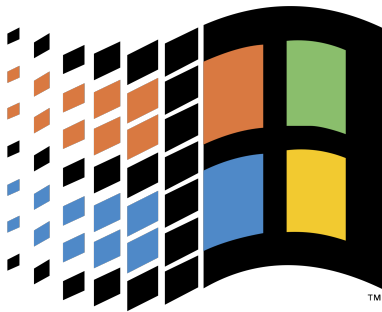


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Microsoft Windows

---



MICROSOFT<sup>®</sup>  
WINDOWS<sup>™</sup>

**Warning!**

Your computer may crash if you use Microsoft Windows.

# Short-Time Fourier Transform

---

**STFT:** Analyze a signal “block by block.” An STFT is a sequence of windowed Fourier transforms.

$$X[k, m] = \frac{1}{N} \sum_{n=0}^{N-1} x[n - ms]w[n]e^{-jk\frac{2\pi}{N}n}$$

**Spectrogram:**  $|X[k, m]|^2$

**Parameters:**

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# Short-Time Fourier Transform

---

## Parameters:

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# Short-Time Fourier Transform

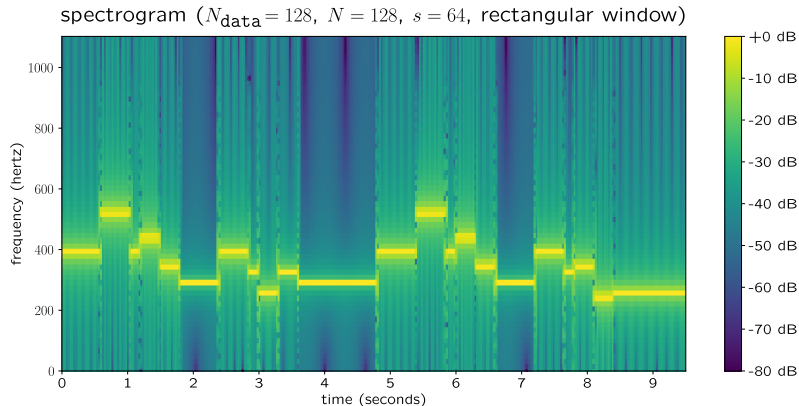
---

## Parameters:

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# STFT: Windows

---

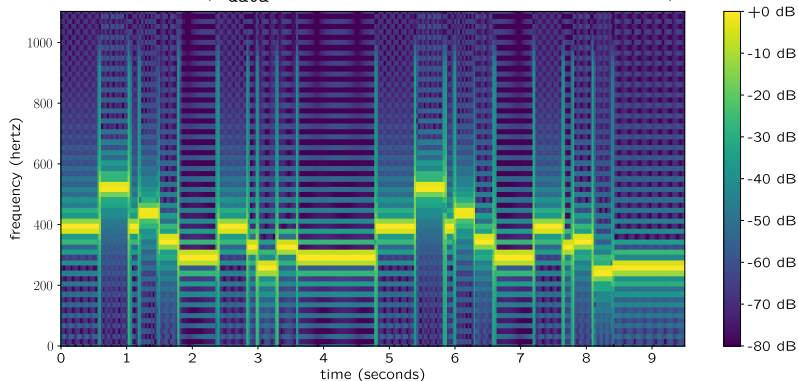


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Windows

spectrogram ( $N_{\text{data}} = 128$ ,  $N = 128$ ,  $s = 64$ , triangular window)

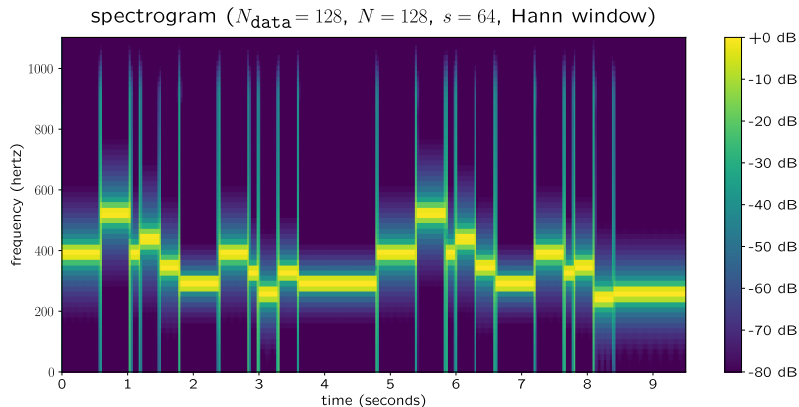


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Windows

---



Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Short-Time Fourier Transform

---

## Parameters:

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# Short-Time Fourier Transform

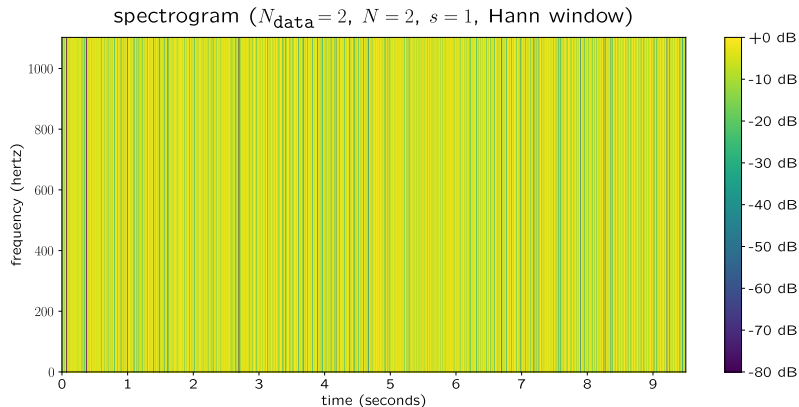
---

## Parameters:

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# STFT: DFT Size

---

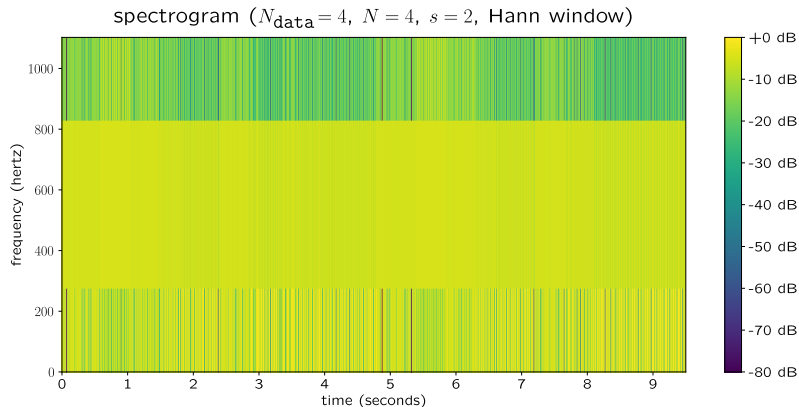


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

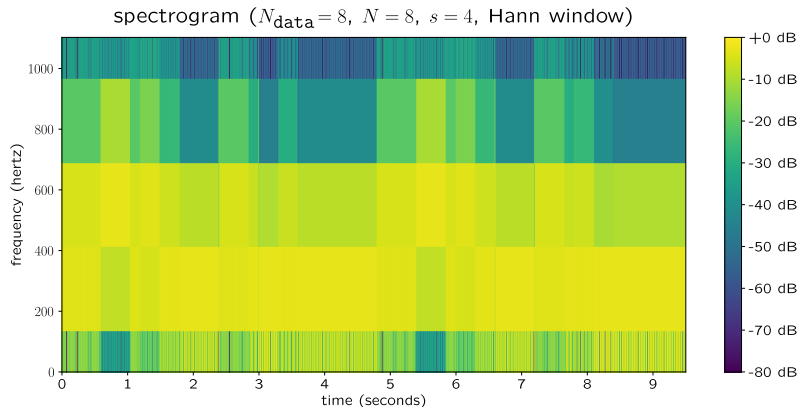


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

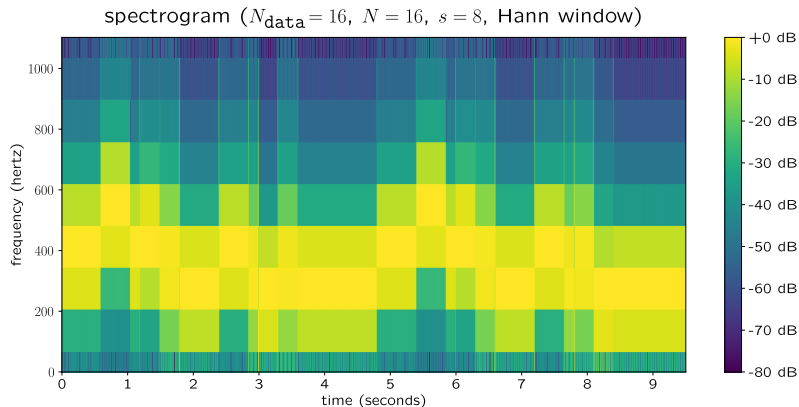


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

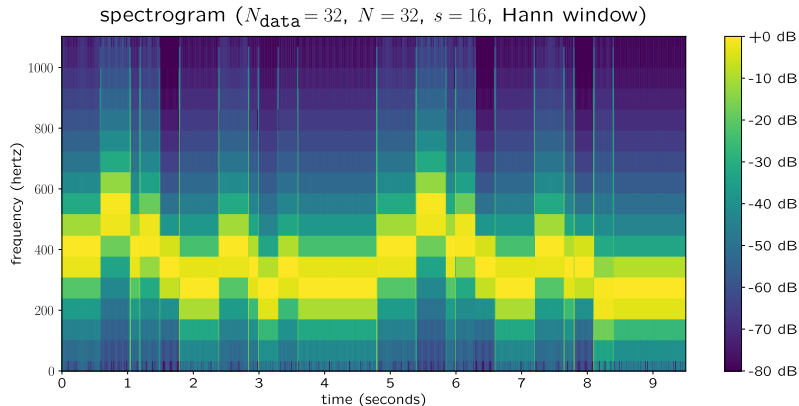


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

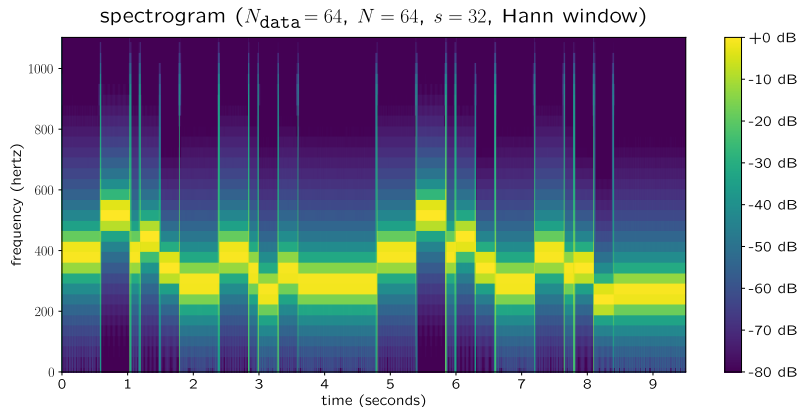


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

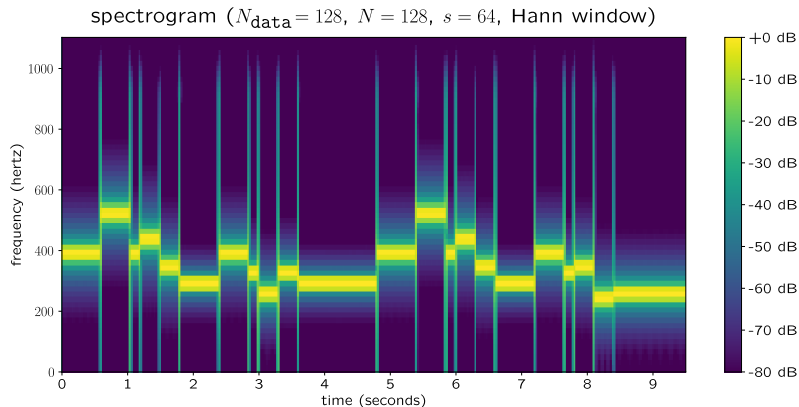


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

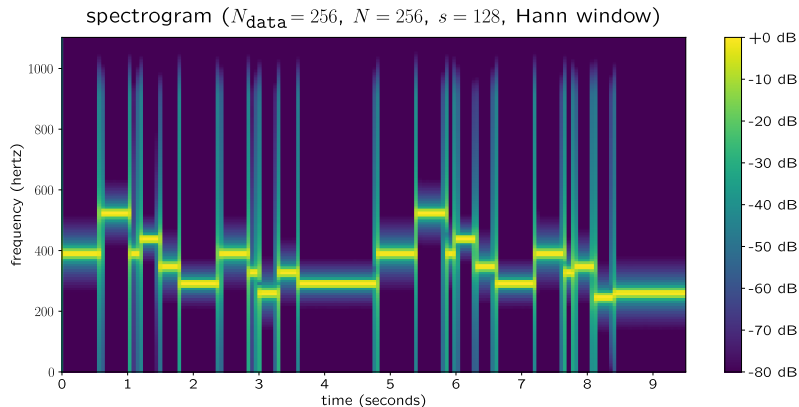


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

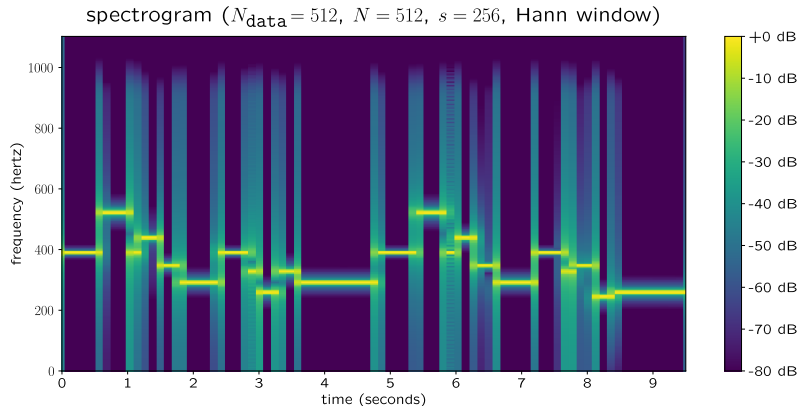


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

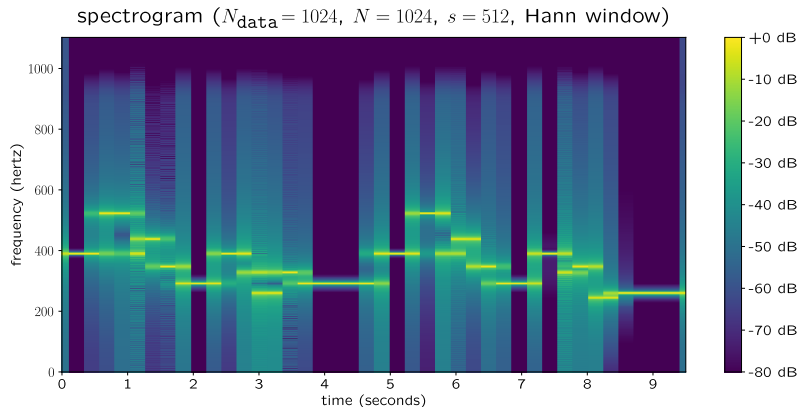


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

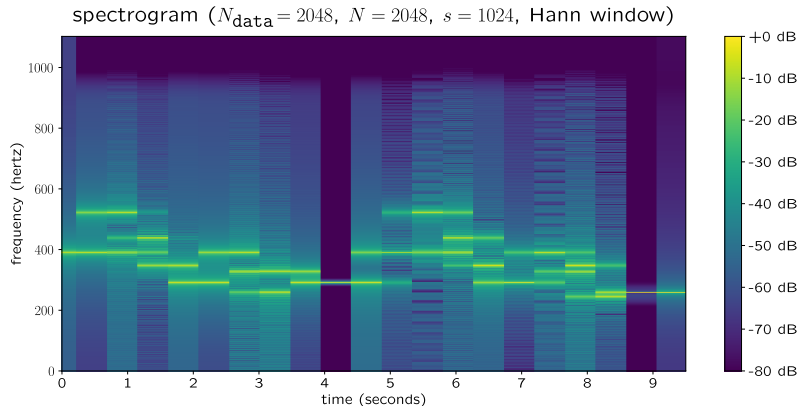


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

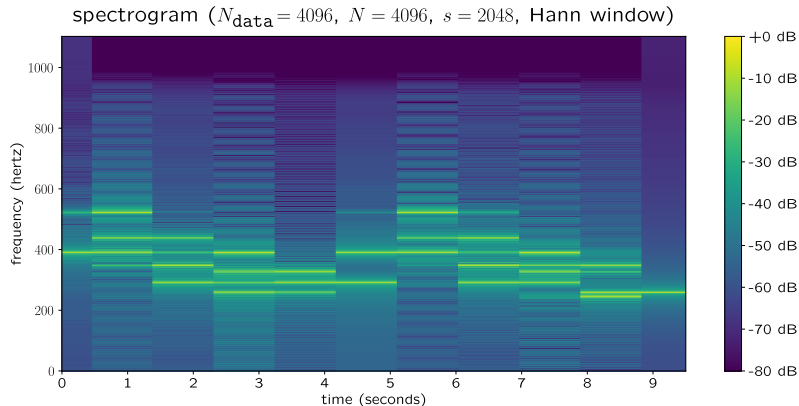


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---

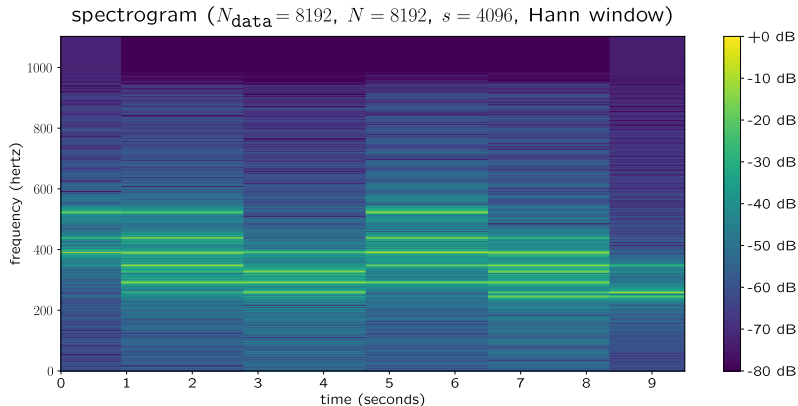


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: DFT Size

---



Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

## STFT: Zero-Padding

---

Remember:

- $N_{\text{data}}$  is the length of the data.
- $N$  is the DFT size.

When  $N > N_{\text{data}}$ , we assume that we are **zero-padding**.

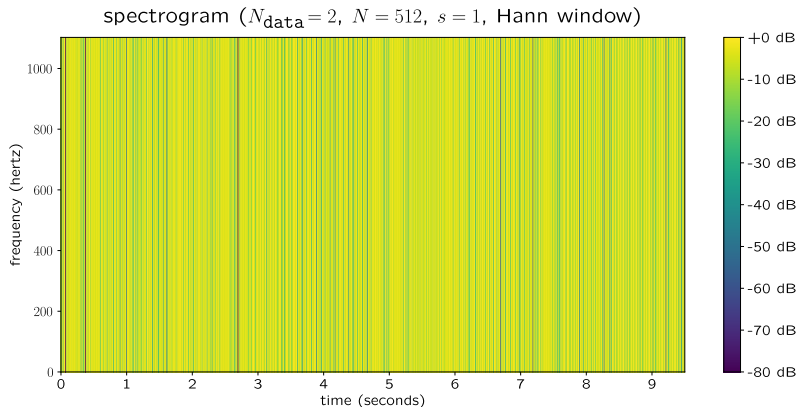
As  $N$  increases, we sample the underlying DTFT at more points — but this does not alter  $W(\Omega)$ , the DTFT of the window function. The width of  $W(\Omega)$ 's mainlobe ( $\sim 1/N_{\text{data}}$ ) determines frequency resolution.

The more data we have, the larger  $N_{\text{data}}$  can be. As  $N_{\text{data}}$  increases,  $W(\Omega)$  gets narrower — and the frequency resolution improves.

You cannot improve frequency resolution by adding “zero information” — only by analyzing more data.

# STFT: Zero-Padding

---

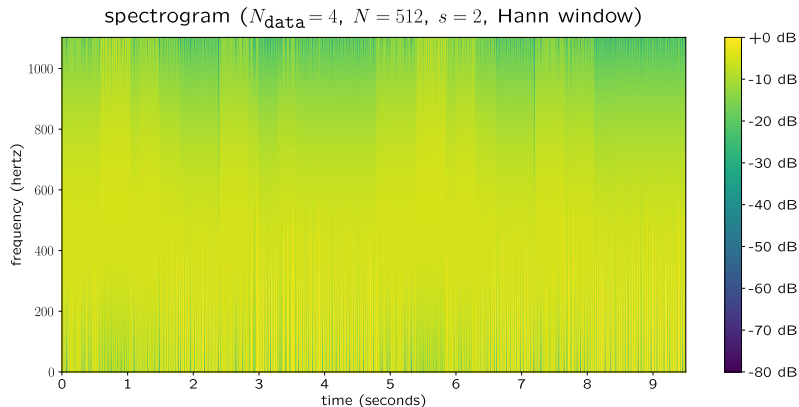


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Zero-Padding

---

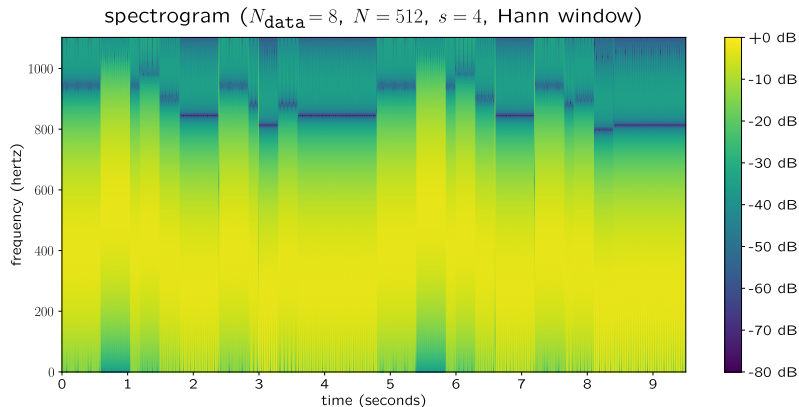


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Zero-Padding

---



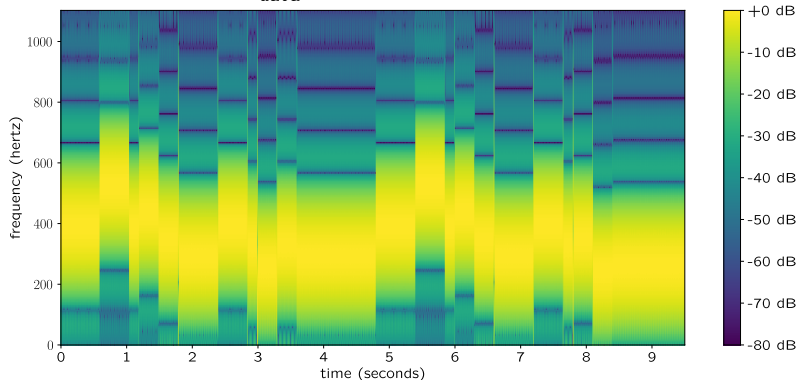
Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Zero-Padding

---

spectrogram ( $N_{\text{data}} = 16$ ,  $N = 512$ ,  $s = 8$ , Hann window)



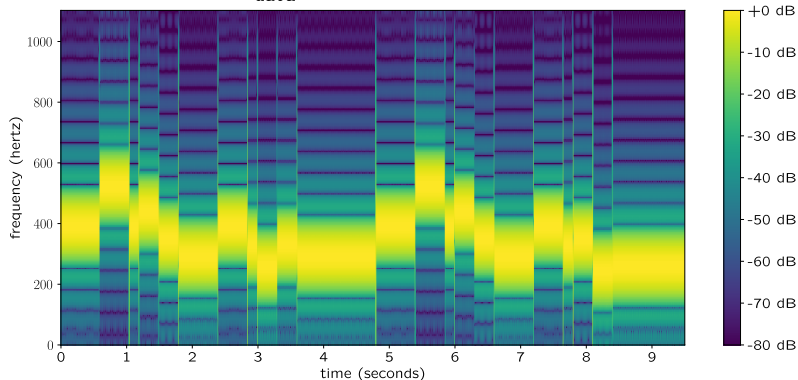
Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Zero-Padding

---

spectrogram ( $N_{\text{data}} = 32$ ,  $N = 512$ ,  $s = 16$ , Hann window)

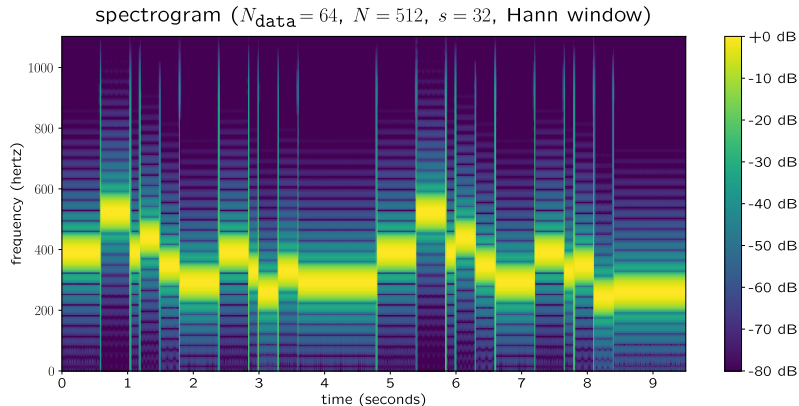


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Zero-Padding

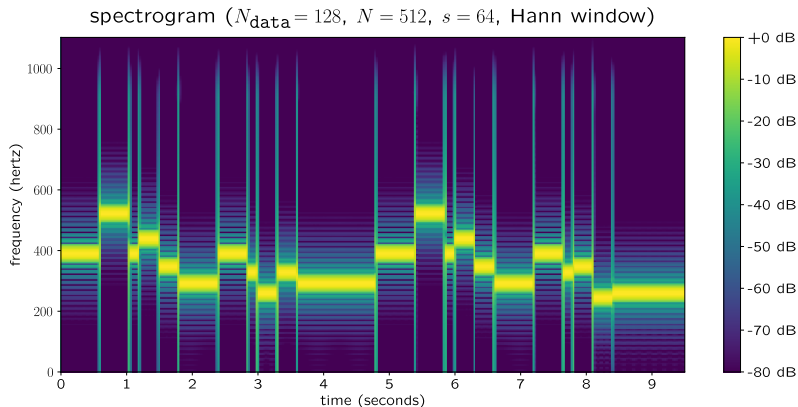
---



Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

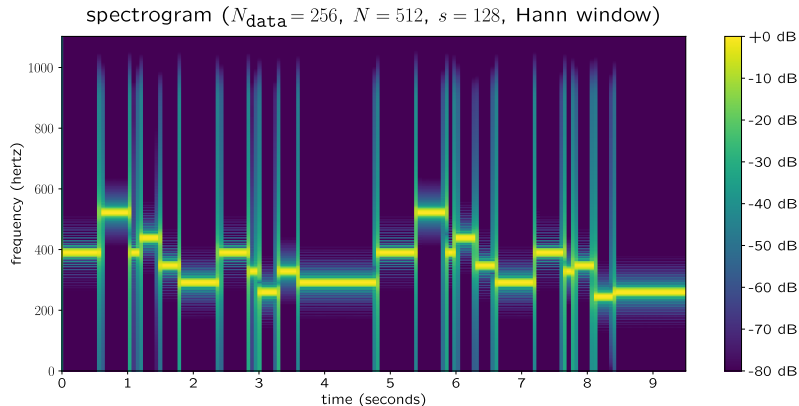
# STFT: Zero-Padding



Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

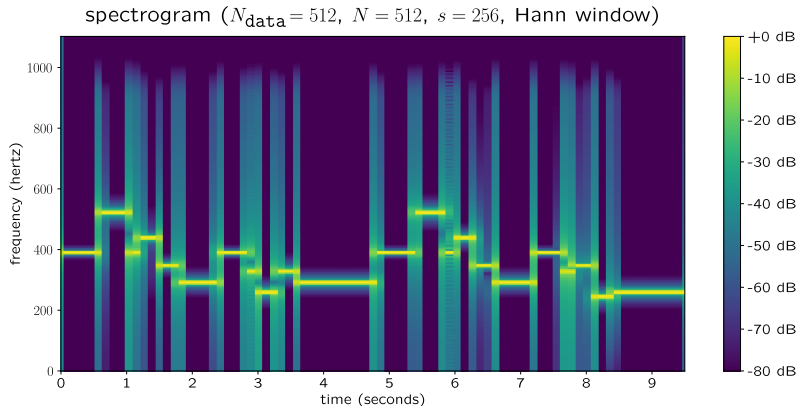
# STFT: Zero-Padding



Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Zero-Padding



Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Short-Time Fourier Transform

---

## Parameters:

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# Short-Time Fourier Transform

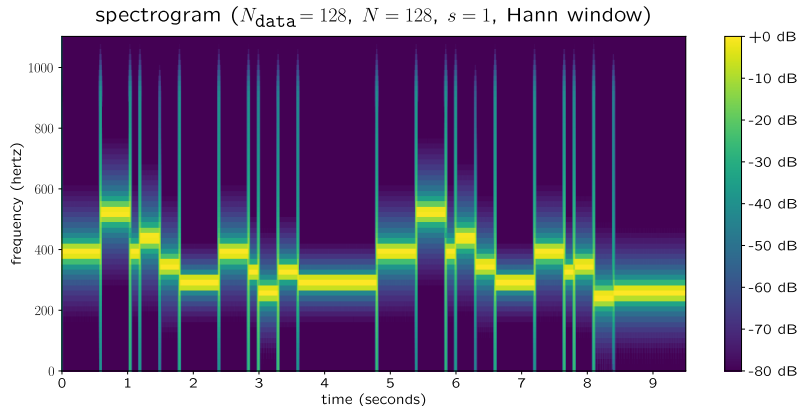
---

## Parameters:

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# STFT: Step Size

---

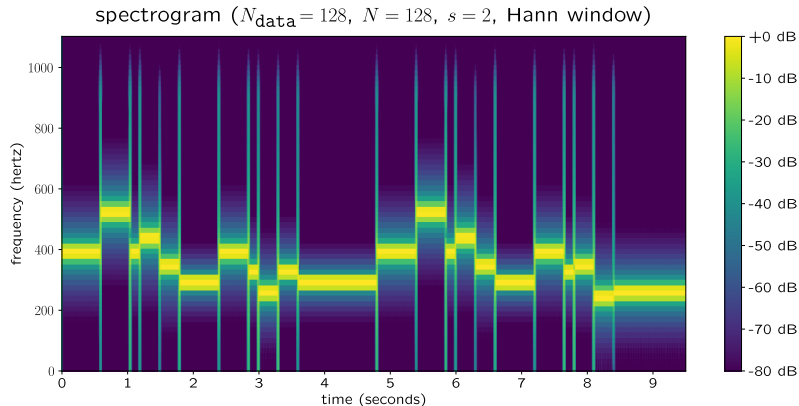


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

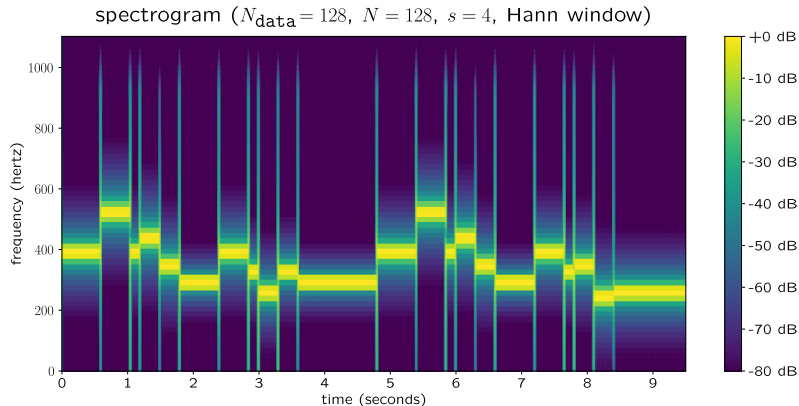


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

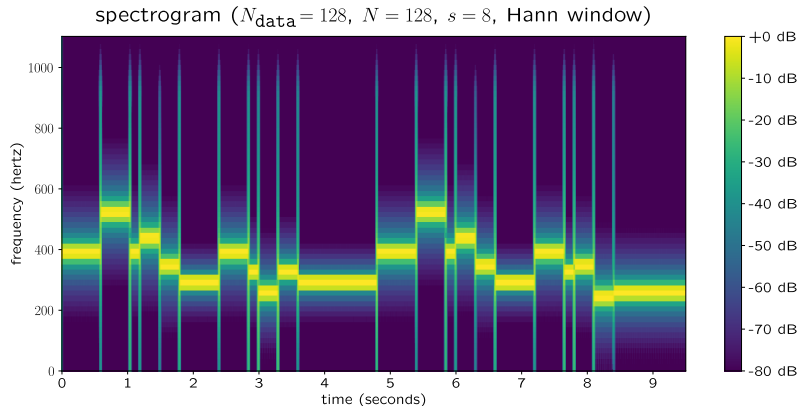


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

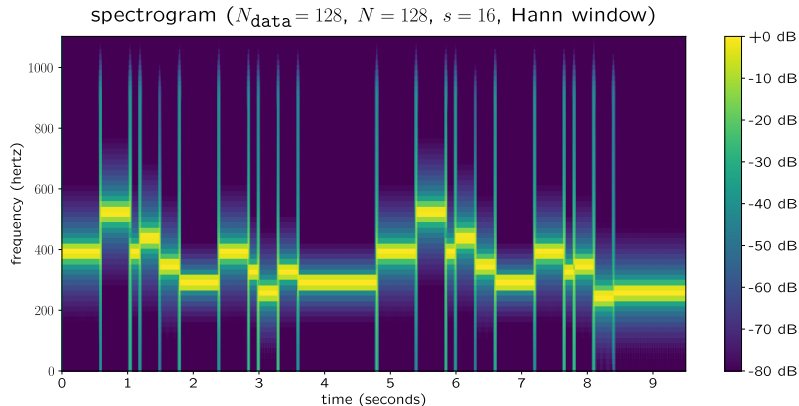


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

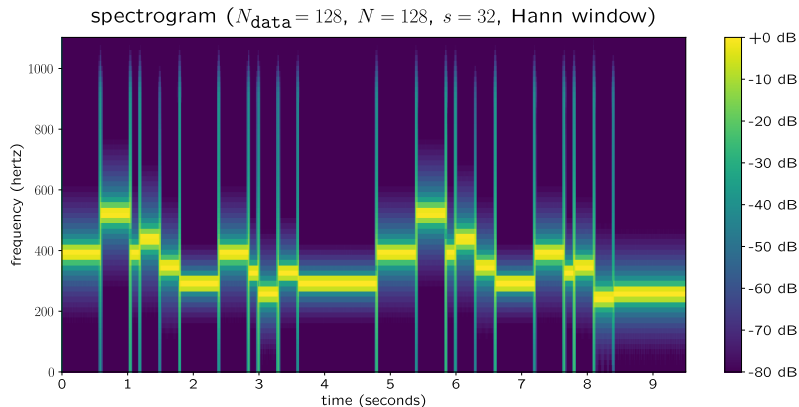


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

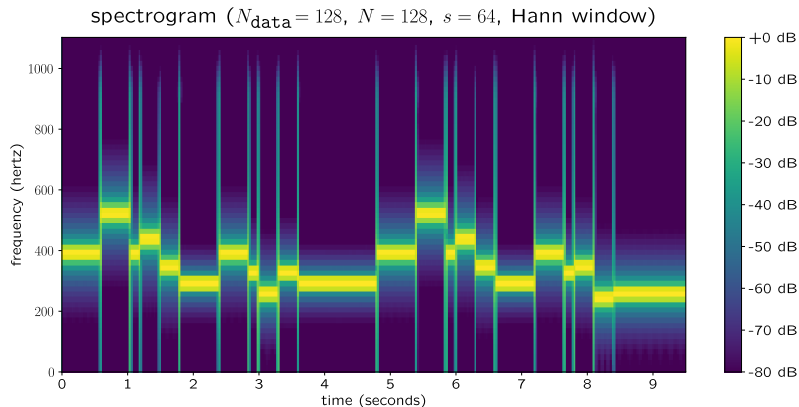


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

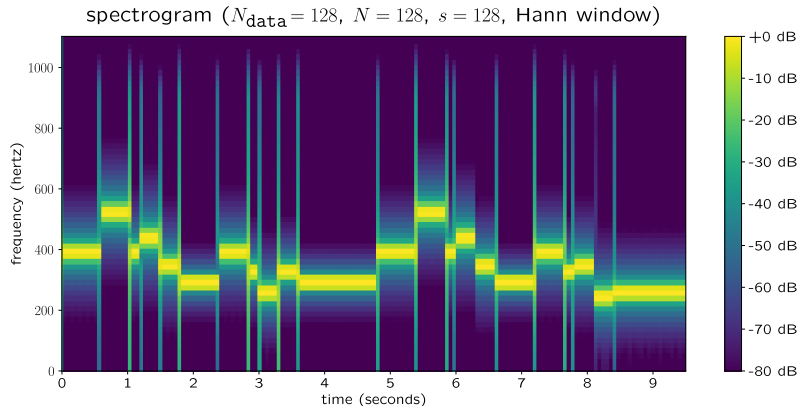


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

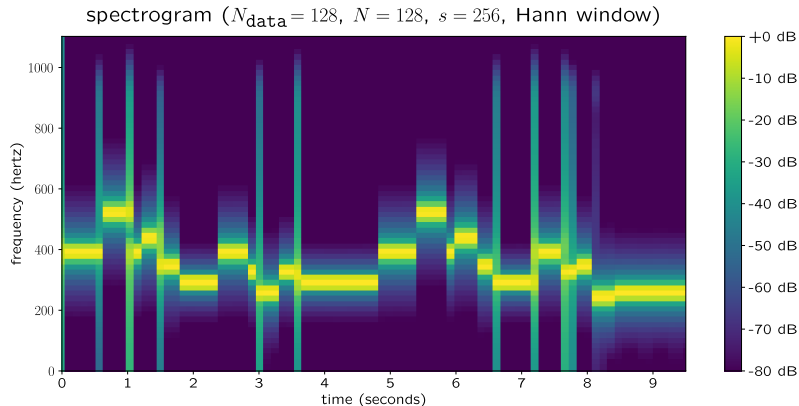


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

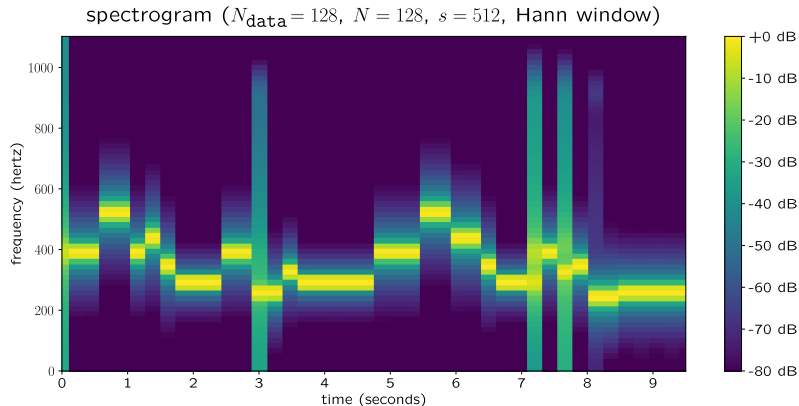


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

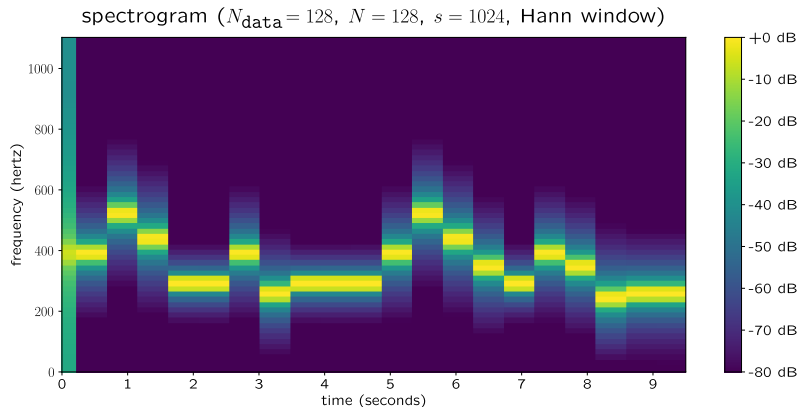


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

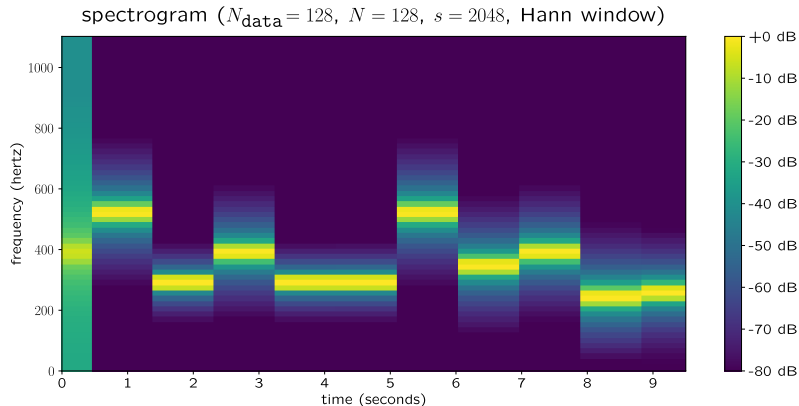


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

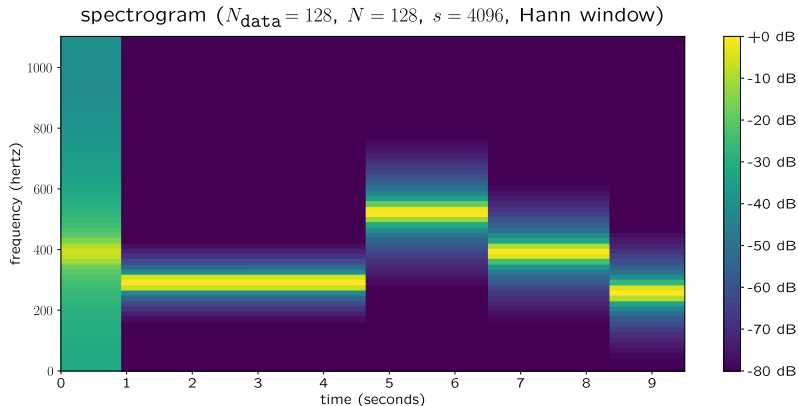


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---

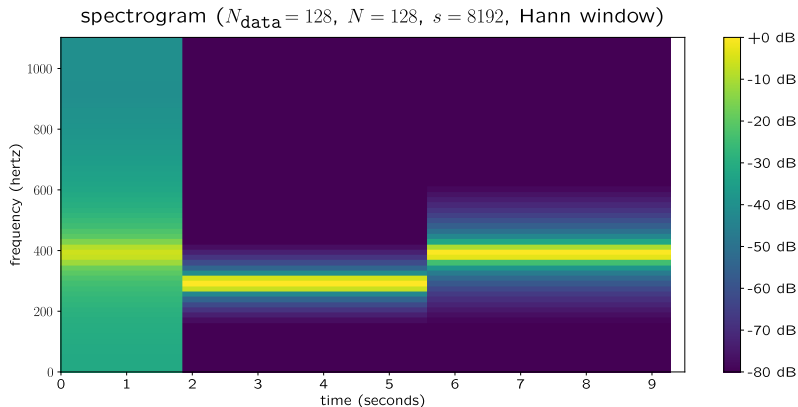


Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# STFT: Step Size

---



Decibels:  $x_{\text{dB}} = 10 \log_{10}(x)$

**e.g.**,  $-10 \text{ dB} \iff x = 10^{-1}$  and  $-20 \text{ dB} \iff x = 10^{-2}$

# Short-Time Fourier Transform

---

## Parameters:

- type of window:  $w[n]$
- DFT size:  $N$
- step size:  $s$

# Lessons Learned

---

A **short-time Fourier transform (STFT)** is a sequence of windowed Fourier transforms. A **spectrogram** displays the (squared) magnitude of an STFT.

**Windows:** Consider the main lobe and sidelobes.

## Time Resolution vs. Frequency Resolution

- time resolution: short  $w[n]$   $\iff$  wide  $W(\Omega)$
- frequency resolution: long  $w[n]$   $\iff$  narrow  $W(\Omega)$

**Analysis:** 
$$X[k, m] = \frac{1}{N} \sum_{n=0}^{N-1} x[n - ms] w[n] e^{-jk \frac{2\pi}{N} n}$$

**Spectrogram:**  $|X[k, m]|^2$  (magnitude<sup>2</sup> of STFT)