

# 6.300: Signal Processing

## Sampling and Aliasing

**Sampling:**  $x[n] \triangleq x(n\Delta)$ , where  $\Delta = \frac{1}{f_s}$  is the sampling interval. Brackets matter! Unless  $\Delta = 1$ ,  $x[n] \neq x(n)$ .

**Aliasing:** Sometimes,  $x_1[n] = x_2[n]$  even if  $x_1(t) \neq x_2(t)$ . Different continuous-time signals may yield the same set of discrete-time samples! (Generally undesirable.)

**Sampling theorem:** Suppose that  $f_{\max}$  is the highest non-zero frequency in a CT signal. A sampling rate  $f_s \geq 2f_{\max}$  will prevent aliasing in frequencies  $|f| \leq f_{\max}$ .

# Agenda for Recitation

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- Dimensional analysis
- Sampling
- Aliasing and the sampling theorem

What questions do you have from lecture?

## Dimensional Analysis

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We can often get the answer just by “mashing” quantities together to get the right dimensions in the end.

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If a car is traveling at 60 miles per hour, how far does the car travel in 2.5 hours?

“Mash” quantities together to get the right dimensions.

$$\underbrace{\left(60 \frac{\text{miles}}{\text{hour}}\right)}_{\text{rate}} \times \underbrace{(2.5 \text{ hours})}_{\text{time}} = \underbrace{(150 \text{ miles})}_{\text{distance}}$$

## Dimensional Analysis

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Consider a **pendulum**. The rod has length  $L$  and the bob has mass  $M$ . Let  $G$  denote the acceleration due to gravity. What is the period  $T$  of the oscillations?

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Figure out the dimensions of the quantities we're given.

- $L$  length (e.g., meters)
- $M$  mass (e.g., kilograms)
- $G$  length per time<sup>2</sup> (e.g., meters per second<sup>2</sup>)
- $T$  time (e.g., seconds)

“Mash” all these together to get the right dimensions.

$$\text{time} = \sqrt{\frac{\text{length}}{\text{length per time}^2}}$$

So,  $T \propto \sqrt{L/G}$ , i.e.,  $T = C\sqrt{L/G}$  for some constant  $C$ .

# Dimensional Analysis

## Sinusoids in Continuous Time (CT)

$$\cos(\omega_0 t) = \cos(2\pi f_0 t) = \cos\left(\frac{2\pi}{T} t\right)$$

## Sinusoids in Discrete Time (DT)

$$\cos(\Omega_0 n) = \cos(2\pi F_0 n) = \cos\left(\frac{2\pi}{N} n\right)$$

Determine units (e.g., seconds) for each term below.

- $T$  period (CT)
- $f_0$  cyclical frequency (CT)
- $\omega_0$  angular frequency (CT)
- $N$  period (DT)
- $F_0$  cyclical frequency (DT)
- $\Omega_0$  angular frequency (DT)

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Determine units (e.g., seconds) for each term below.

- $T$  period (CT) seconds
- $f_0$  cyclical freq. (CT) cycles per second (Hz)
- $\omega_0$  angular freq. (CT) radians per second
- $N$  period (DT) samples
- $F_0$  cyclical freq. (DT) cycles per sample
- $\Omega_0$  angular freq. (DT) radians per sample

## Dimensional Analysis

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Always keep track of the dimensions!

$$\underbrace{(5 \text{ seconds})}_{\text{time}} \times \underbrace{\left( 20 \times 10^3 \frac{\text{samples}}{\text{second}} \right)}_{\text{samples per unit time}} = 10^5 \text{ samples}$$

The sampling rate  $f_s$  is the “currency exchange rate” between seconds  $T$  and samples  $N$ .

# Dimensional Analysis

“Middle C” is approximately 261.63 hertz (Hz). Let’s generate a “middle C” tone that lasts 5 seconds using a sampling rate of 44,100 samples per second (Hz).

```
import math
from lib6300.audio import wav_write
tone = [cos(EXPR1 * n) for n in range(0, EXPR2)]
wav_write(tone, 44100, 'audio.wav')
```

Determine values for EXPR1 and EXPR2.

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Determine values for EXPR1 and EXPR2.

EXPR1 =  $2 * \text{math.pi} * 261.63 / 44100$  is the frequency,  $\Omega_0$ .

EXPR2 =  $\text{int}(5 * 44100)$  is the number of samples.

# Sampling

A **continuous-time (CT) signal** is a **function**.

Time is indexed by  $t$ , a real number.

A **discrete-time (DT) signal** is a **sequence**.

Time is indexed by  $n$ , an integer.

**Sampling** a CT signal yields a DT signal:  $t \rightarrow n\Delta = n/f_s$ .

$$x[n] \triangleq x(n\Delta) = x\left(\frac{n}{f_s}\right)$$

- $\Delta$  (seconds per sample) is the **sampling interval**.
- $f_s$  (samples per second) is the **sampling rate**.

**Brackets matter!** Unless  $\Delta = f_s = 1$ ,  $x[n] \neq x(n)$ .

## Sampling

---

Sketch  $x(t) = \cos(2\pi t)$  for  $0 \leq t \leq 3$ .

Next, sketch  $x[n]$  for each choice of  $\Delta$  given below.

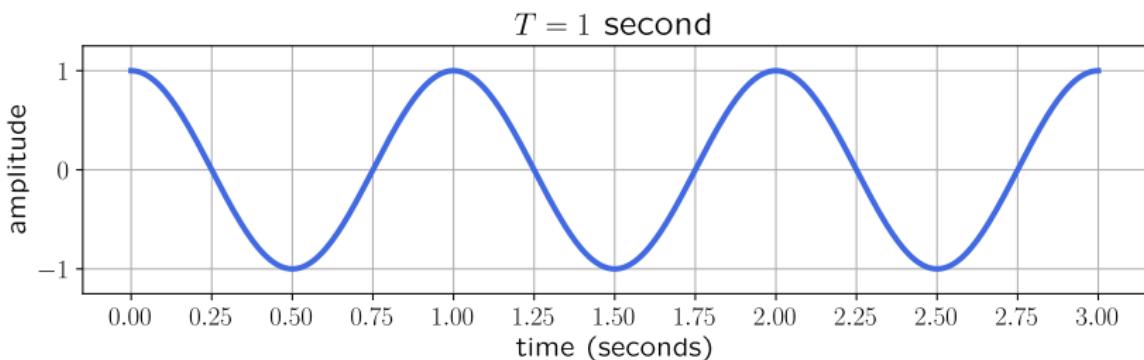
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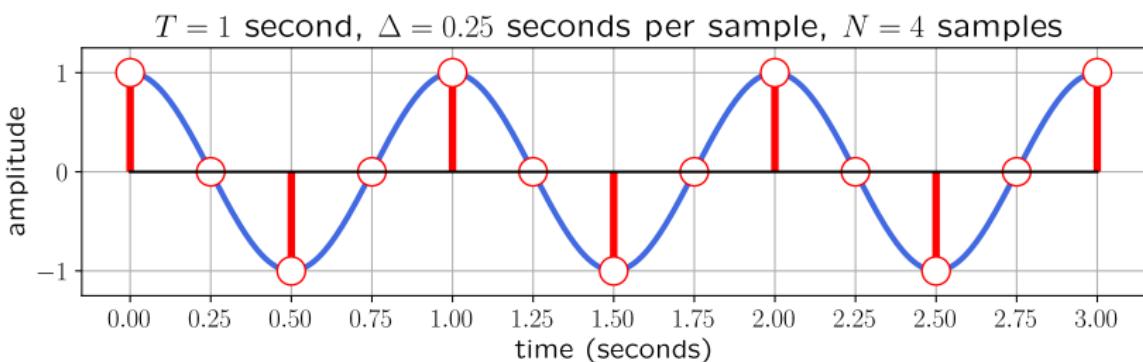


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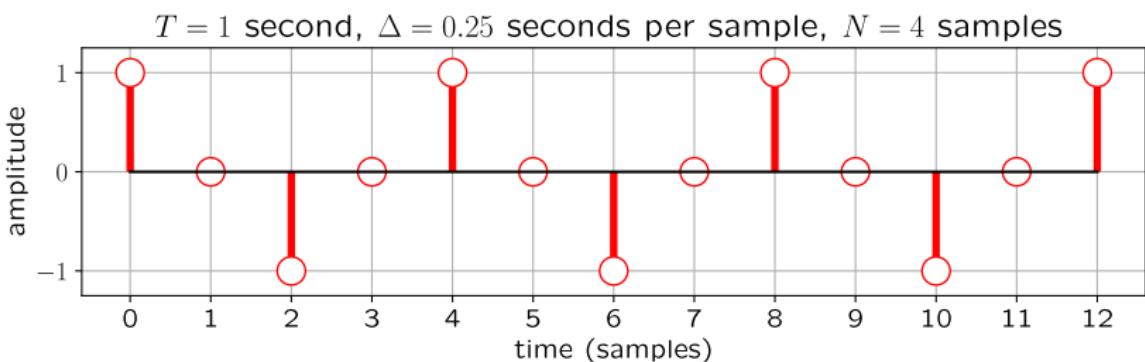


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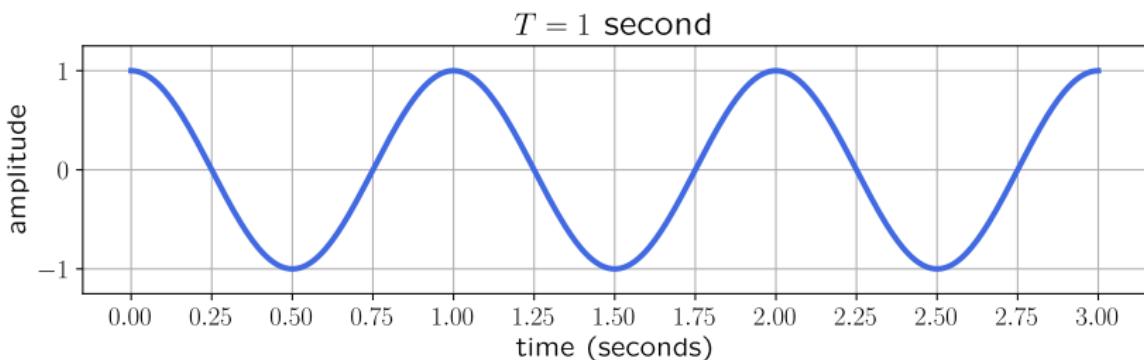


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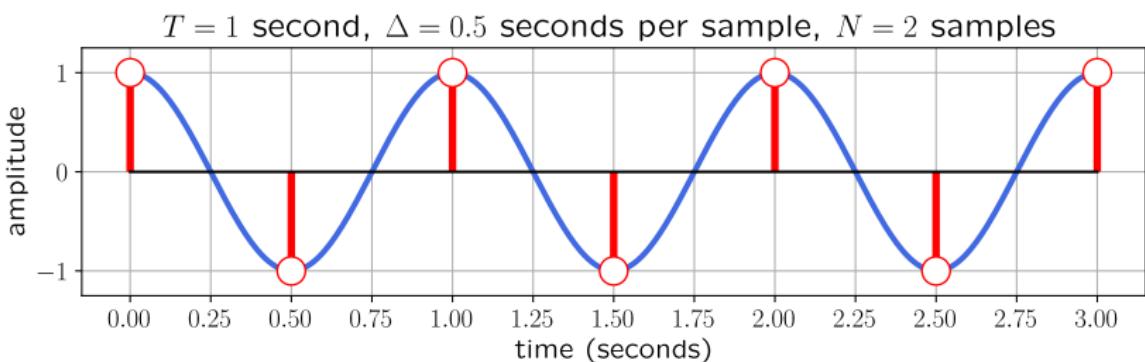


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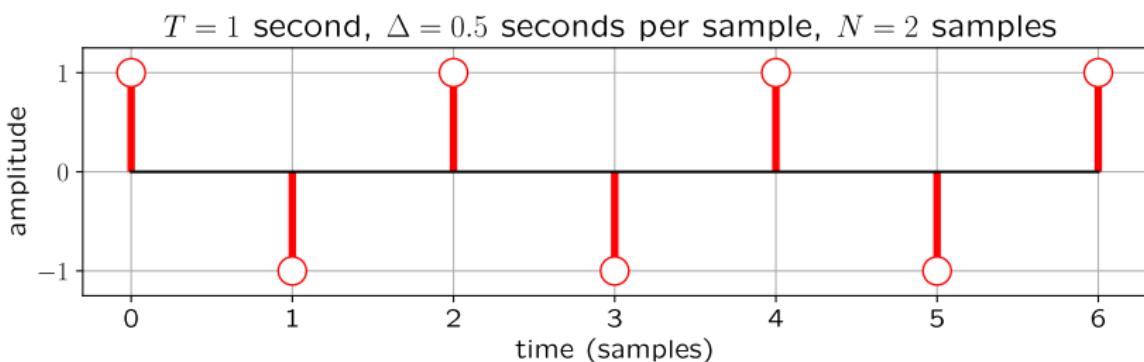


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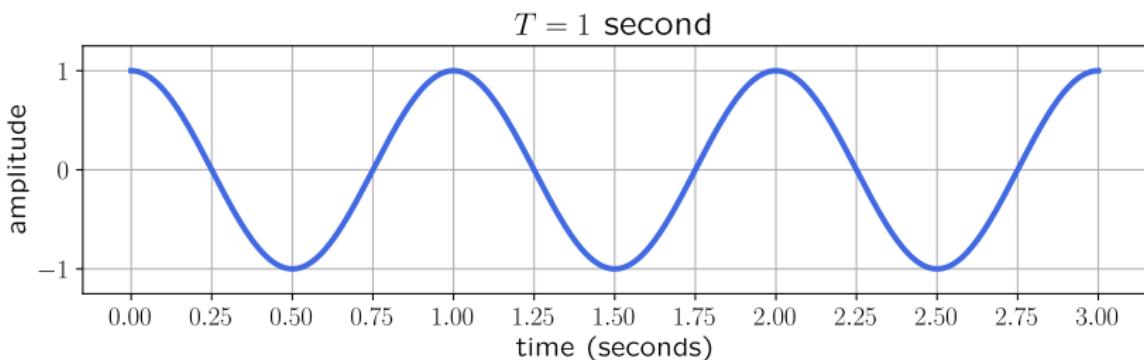


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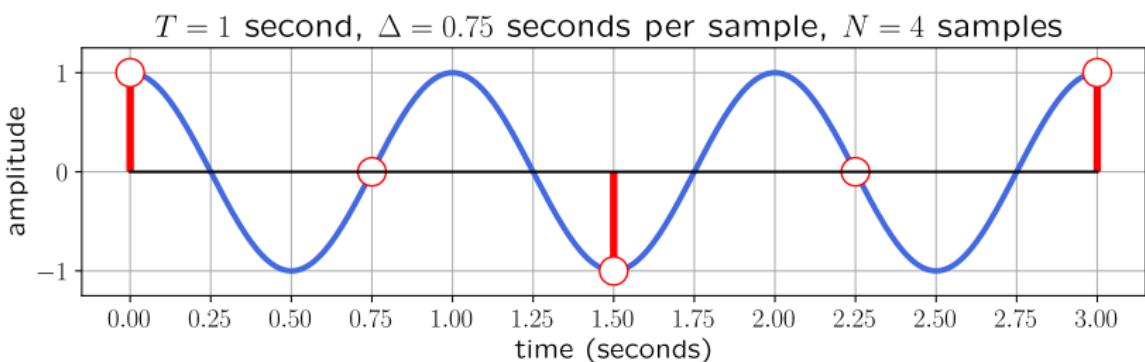


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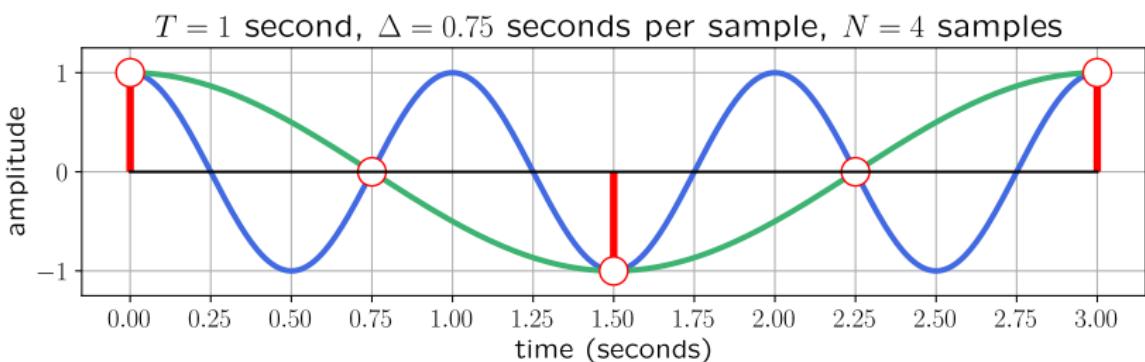


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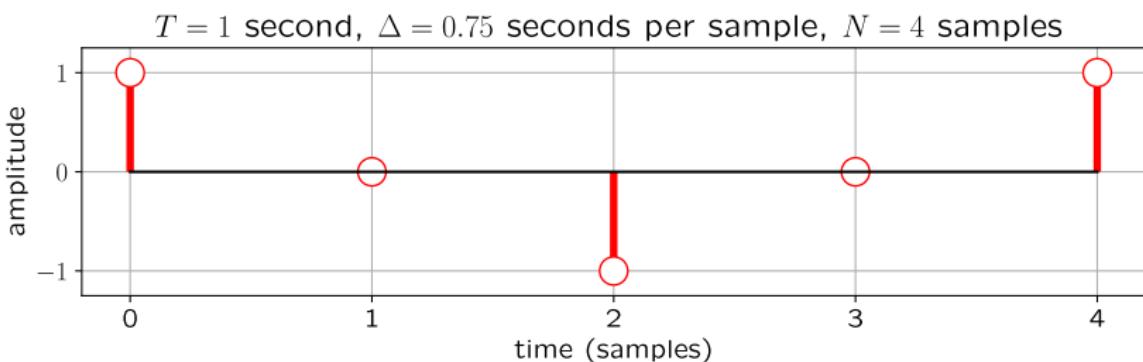


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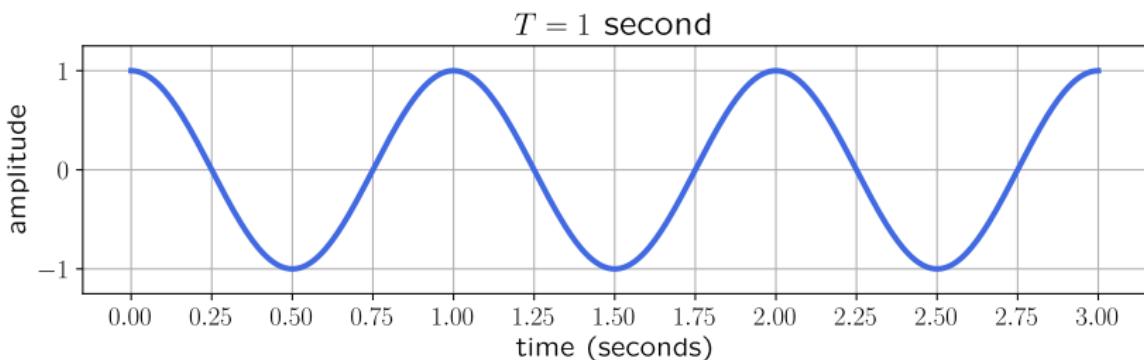


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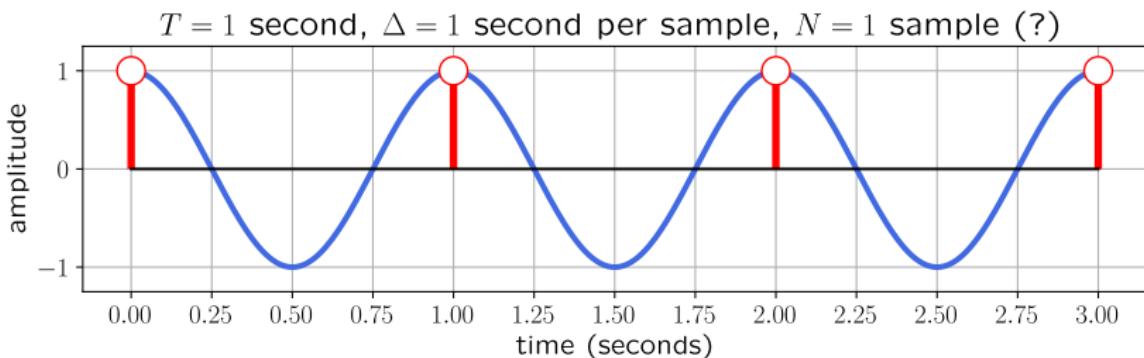


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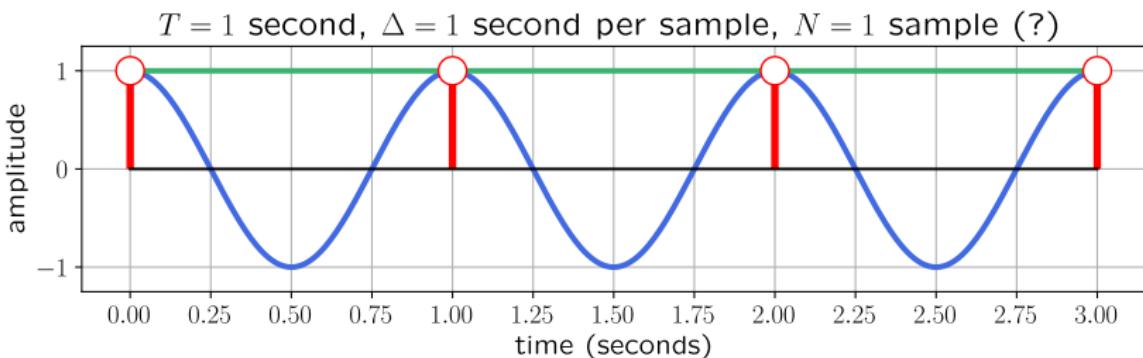


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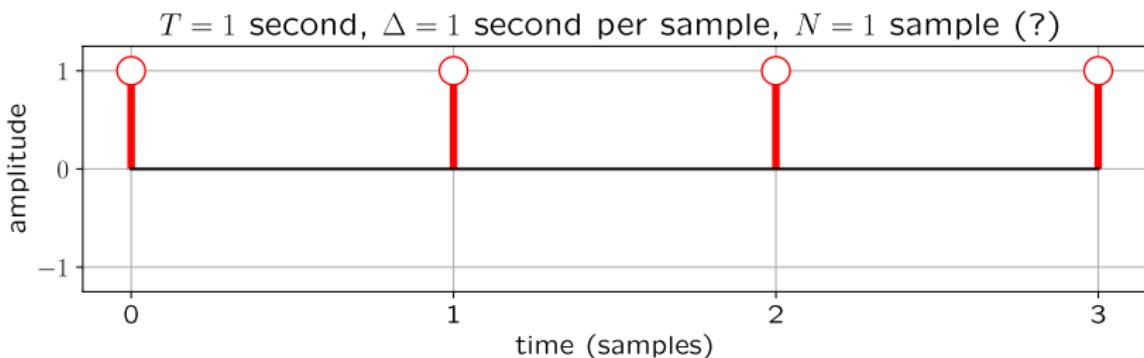


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# Sampling

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What is the fundamental period ( $T$ ) of  $x(t)$ ?

$$x(t) = \cos\left(\frac{2\pi}{7}t\right)$$

Suppose we sample  $x(t)$  using sampling interval  $\Delta = 3$  seconds per sample. What is the fundamental period ( $N$ ) of  $x[n]$ , the resulting discrete-time signal?

# Sampling

What is the fundamental period ( $T$ ) of  $x(t)$ ?

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Suppose we sample  $x(t)$  using sampling interval  $\Delta = 3$  seconds per sample. What is the fundamental period ( $N$ ) of  $x[n]$ , the resulting discrete-time signal?

$T = 7$  seconds is the fundamental period of  $x(t)$ .

The fundamental period of  $x[n]$  **must be an integer!**

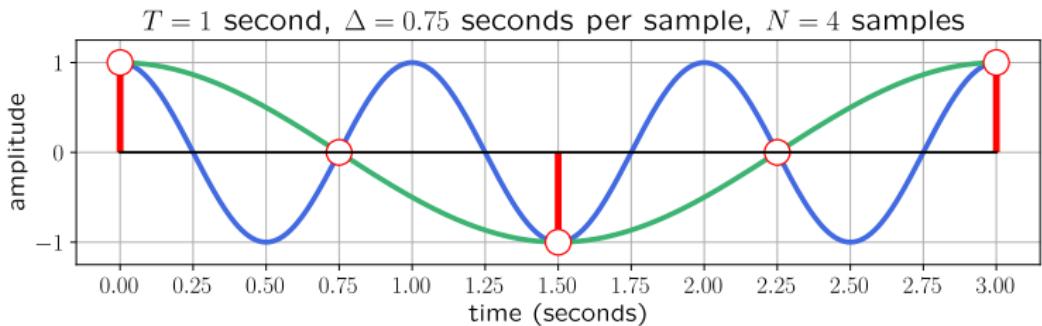
$$\begin{aligned} N \text{ (samples)} &= T \text{ (seconds)} \times \Delta^{-1} \text{ (seconds per sample)}^{-1} \\ &= 7 \times \frac{1}{3} \implies 7 \text{ is the least integer multiple} \end{aligned}$$

# Aliasing and the Sampling Theorem

When we sample, we throw away some information that was in the CT signal. What if we throw away too much?

Answer: **Aliasing!** It occurs if we sample too slowly.

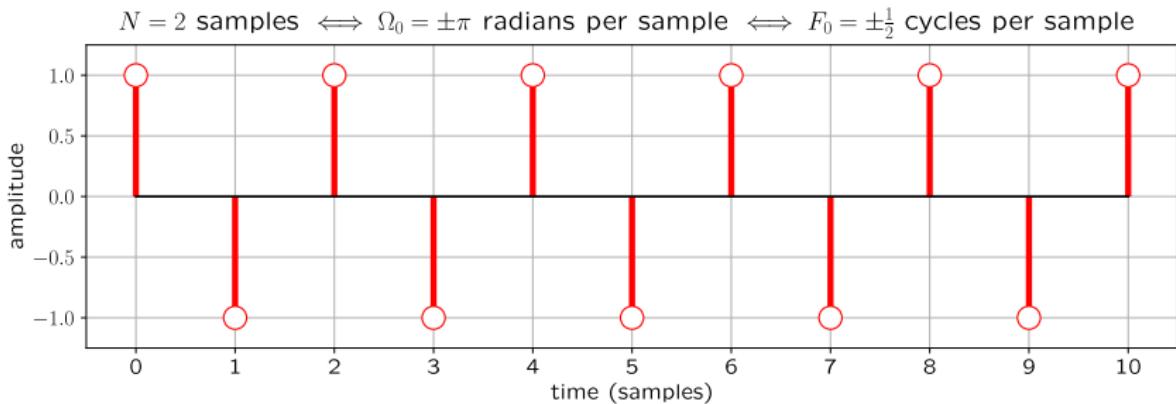
**Aliasing:** Sometimes,  $x_1[n] = x_2[n]$  even if  $x_1(t) \neq x_2(t)$ .  
Different continuous-time signals may yield the same set of discrete-time samples! (Generally undesirable.)



# Aliasing and the Sampling Theorem

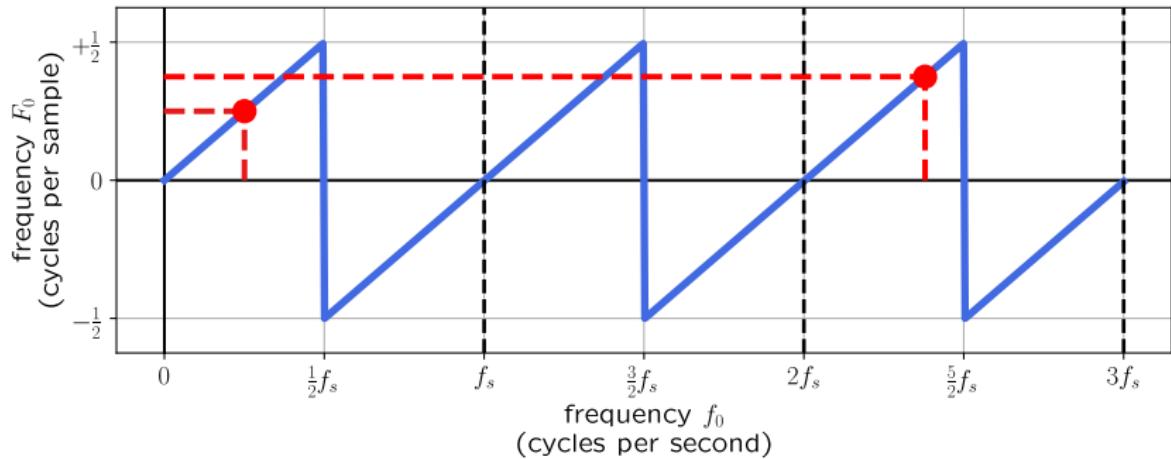
There is no smallest unit of continuous time, but there is indeed a smallest unit of discrete time — a single sample.

Similarly, in continuous time, there is no such thing as a “highest frequency” — but in discrete time, there is!



The highest discrete-time frequency is  $\Omega_0 = \pm\pi$  radians per sample — equivalently,  $F_0 = \pm\frac{1}{2}$  cycles per sample.

# Aliasing and the Sampling Theorem



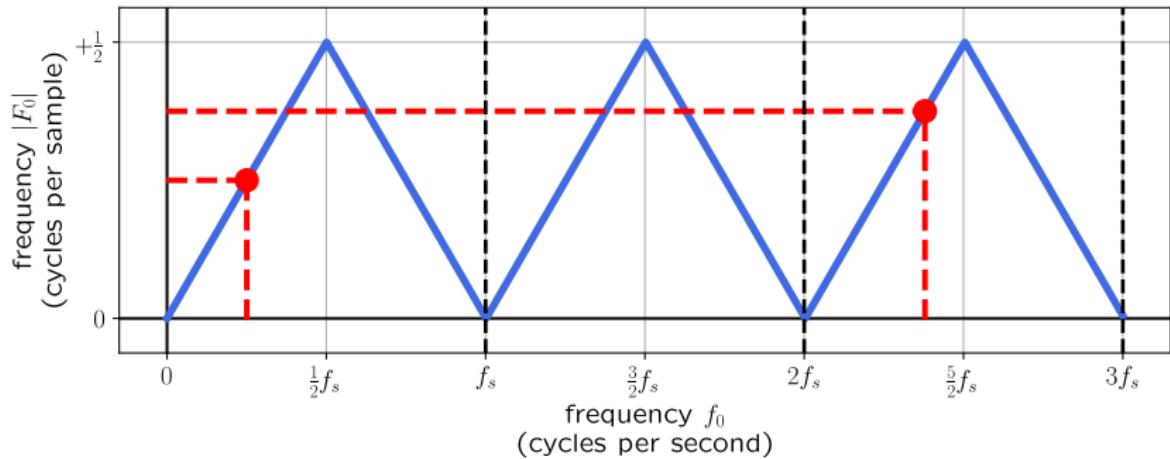
Which continuous-time frequencies (e.g.,  $f_0$  and  $\omega_0$ ) these discrete-time frequencies (e.g.,  $F_0$  and  $\Omega_0$ ) correspond to is determined by the sampling rate  $f_s$ .

$$\underbrace{-\frac{1}{2}f_s \leq f_0 \leq \frac{1}{2}f_s}_{\text{cycles per second}} \iff$$

$$\underbrace{-\frac{1}{2} \leq F_0 \leq \frac{1}{2}}_{\text{cycles per sample}} \iff$$

$$\underbrace{-\pi \leq \Omega_0 \leq \pi}_{\text{radians per sample}}$$

# Aliasing and the Sampling Theorem

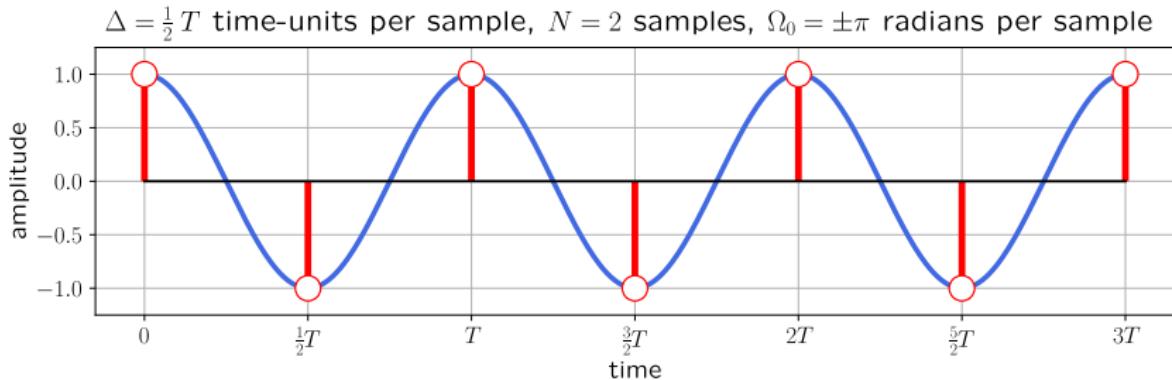


At times, we may only be sensitive to the magnitude (and not the sign) of the frequency — like when listening to audio. So, sometimes we can simplify the previous plot.

**Demonstration:** What does aliasing sound like?

# Aliasing and the Sampling Theorem

Hand-wavy “proof by picture” of the sampling theorem:  
It takes **at least 2 samples per cycle** to preserve the information in a sinusoid.  $\Delta \leq \frac{1}{2}T \iff f_s \geq 2f_0$ . QED.



**Sampling theorem:** Suppose that  $f_{\max}$  is the highest non-zero frequency in a CT signal. A sampling rate  $f_s \geq 2f_{\max}$  will prevent aliasing in frequencies  $|f| \leq f_{\max}$ .

# Lessons Learned

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Discrete-time (DT) signals result from **sampling** continuous-time (CT) signals.

**Sampling:**  $x[n] \triangleq x(n\Delta)$ , where  $\Delta = \frac{1}{f_s}$  is the sampling interval. Brackets matter! Unless  $\Delta = 1$ ,  $x[n] \neq x(n)$ .

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**Sampling theorem:** Suppose that  $f_{\max}$  is the highest non-zero frequency in a CT signal. A sampling rate  $f_s \geq 2f_{\max}$  will prevent aliasing in frequencies  $|f| \leq f_{\max}$ .

## Question of the Day

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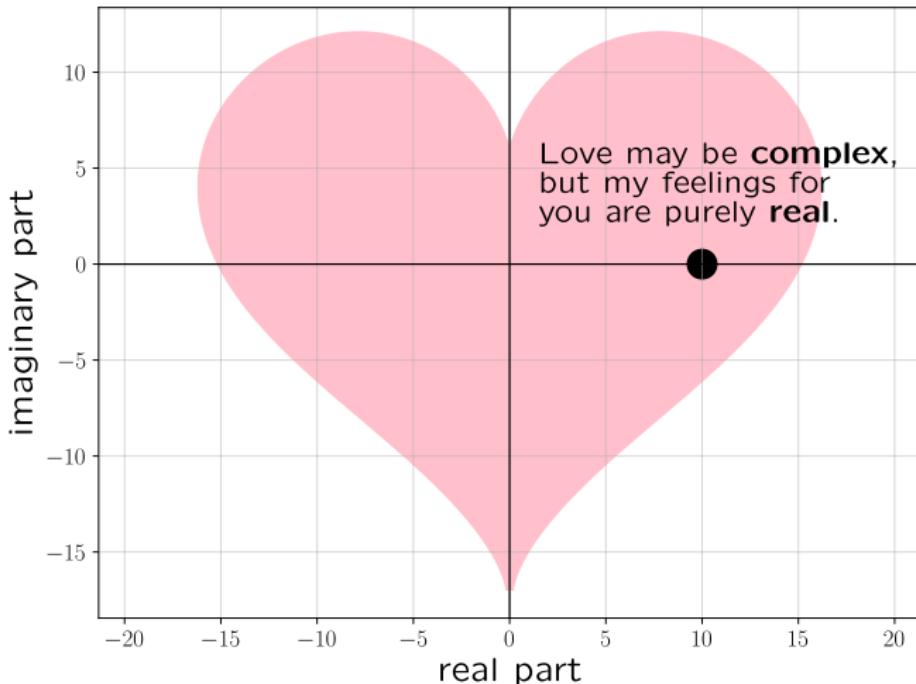
**True or false?** The upper threshold of human hearing is approximately 20 kHz, so a sampling rate of 40 kHz ought to suffice for all digital audio applications.

If **false**, briefly explain your reasoning.



# Valentine's Day at MIT

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Getting mixed **signals**? Well, now you can do a **Fourier analysis** — and your homework on **CT and DT signals**.